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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

application of:

WERNER BAUER ET AL.

Group Art Unit: Unknown

Examiner: Unknown

Serial No.: 10/595,315

Filed: April 12, 2006

For: POLYMERIC COMPOSITIONS CONTAINING MODIFIED  
POLYVINYL ALCOHOLS

Attorney Docket No.: WAS 0767 PUSA

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97(b)**

Mail Stop Amendment  
Commissioner for Patents  
U.S. Patent & Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This statement is being filed in accordance with 37 C.F.R. § 1.97(b).

While this Statement is being filed in compliance with the duty of disclosure, citation of the listed references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

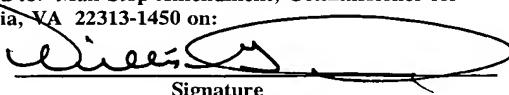
**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8 (FIRST CLASS MAIL)**

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5/26/2006

Date of Deposit

William G. Conger  
Name of Person Signing

  
Signature

Accompanying the Information Disclosure Statement is the statement of Dr. Michael Schuderer describing the content of the references cited in the Information Disclosure Statement.

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). All other references have been provided as required. Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,

**WERNER BAUER ET AL.**

By:

  
William G. Conger  
Reg. No. 31,209  
Attorney for Applicant

Date: May 26, 2006

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<p>Substitute for Form 1449A/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(use as many sheets as necessary)</i></p> <p>8-1 2006</p>				<b>Complete if Known</b>	
				Application Number	10/595,315
				Filing Date	April 12, 2006
				First Named Inventor	Werner Bauer et al.
				Group Art Unit	Unknown
				Examiner Name	Unknown
Sheet	1	of	2	Attorney Docket Number	WAS 0767 PUSA



## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Substitute for Form 1449B/PTO				<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	10/595,315
				Filing Date	April 12, 2006
				First Named Inventor	Werner Bauer et al.
				Group Art Unit	Unknown
				Examiner Name	Unknown
(use as many sheets as necessary)				Attorney Docket Number	WAS 0767 PUSA
Sheet	2	of	2		

## **OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

<b>Examiner Signature</b>		<b>Date Considered</b>	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
WERNER BAUER ET AL. :  
Serial Number : Group Art Unit:  
Filed: :  
For: :  
POLYMERIC COMPOSITIONS CONTAINING MODIFIED POLYVINYL ALCOHOLS

Information Disclosure Statement

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir or Madam:

I, Michael Schuderer, associated with the preparation and prosecution of the above-identified application, residing at Thurneyssenstraße 22, 80687 München, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in the enclosed International Search Report and may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

DE 32 15 969 A1, cited in the International Search Report, the corresponding US 4,452,949 in English language is enclosed.

EP 1 112 238 B, cited in the International Search Report, the corresponding US 6,552,120 B1, in English language is enclosed.

EP 1 065 224 A, cited in the International Search Report, the corresponding US 6,605,663 B1 in English language is enclosed.

EP 1 023 331 B, cited in the International Search Report, the corresponding US 6,300,403 B1 in English language is enclosed.

EP 0 359 746 B, cited in the International Search Report, is already in English language.

Fox T.G., Bull. Am. Physics Soc. 1, 3, 1956, Page 123, cited in the application, is already in English language.

Polymer Handbook, 2<sup>nd</sup> Edition, J. Wiley & Sons, New York, 1975, cited in the application, the index in English language is enclosed.

Signed this 15<sup>th</sup> day of May, 2006.



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Dr. Michael Schuderer